

# LFU and other anomalies in b-hadron decays

Carla Marin

on behalf of the LHCb collaboration + results from Belle (II)

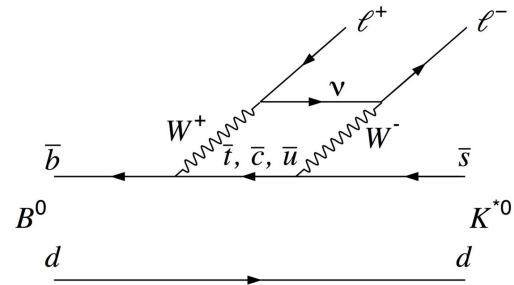
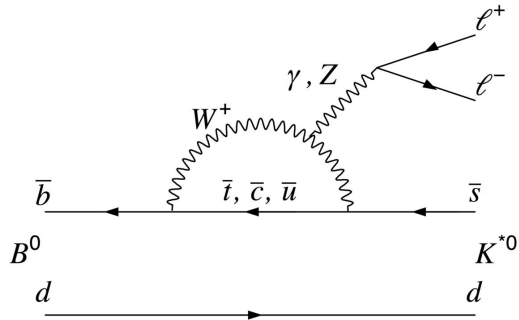
A promotional poster for the "INTERSECTIONS" event. The word "INTERSECTIONS" is written in large, 3D block letters, each containing a different scientific image: a particle detector, a particle track, a particle detector, a particle detector, a particle detector, a particle detector, a particle detector, a particle detector, a particle detector, and a particle detector. The text "Join us at" is written in a cursive font above the word. Below the word, the text "Lake Buena Vista, Fla" is written in a cursive font. The dates "May 30 - June 5, 2022" are written in a cursive font and crossed out with a red line. The dates "August 29- September 4" are written in a cursive font. The background is a gradient of purple and pink, with palm trees at the bottom.

# Overview

- Rare b-hadron decays
- Recent results
  - Branching ratios (BR)
  - Angular observables
  - Lepton Flavour Universality (LFU)
- Prospects

# Rare $b \rightarrow sll$ decays

- Flavour-Changing Neutral-Currents sensitive to **indirect effects of New Physics** (NP) in loops
- Access to much **larger scales** than direct searches
- Tests of couplings to **3rd generation b-quarks**



# Effective Hamiltonian

Model independent description of  $b \rightarrow sll$  decays:

$$H_{\text{eff}} \propto V_{tb} V_{ts}^* \sum_i (C_i \mathcal{O}_i + C'_i \mathcal{O}'_i)$$

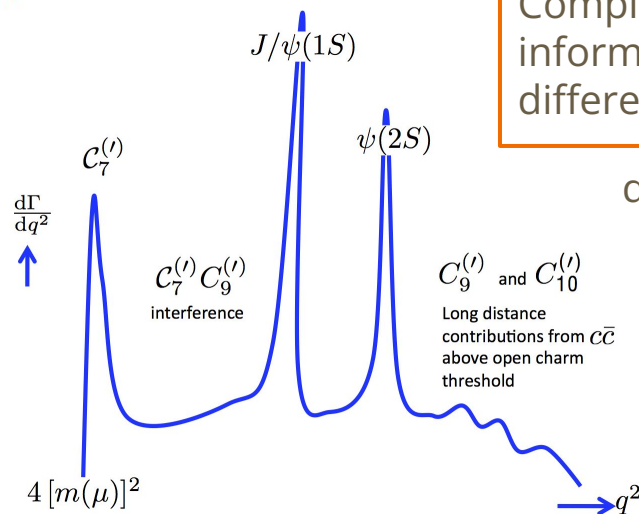
$$O_7^{(\prime)} \propto (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$$

$$O_9^{(\prime)} \propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu l)$$

$$O_{10}^{(\prime)} \propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu \gamma_5 l)$$

$$O_S^{(\prime)} \propto (\bar{s} P_{L(R)} b) (\bar{l} l)$$

$$O_P^{(\prime)} \propto (\bar{s} P_{L(R)} b) (\bar{l} \gamma_5 l)$$



Complementary  
information in  
different  $q^2$  regions

$$q^2 = (m_l)^2$$

# Observables

## Phenomenology perspective

- **BR**: affected by hadronic uncertainties
- **Angular observables**: first-order form-factor cancellations
- **LFU**: full cancellations in the SM

# Observables

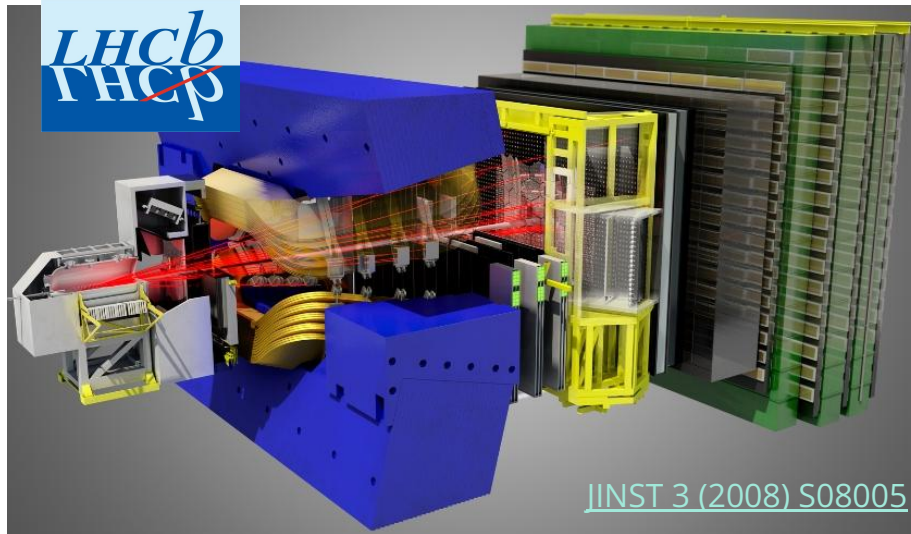
## Phenomenology perspective

- **BR**: affected by hadronic uncertainties
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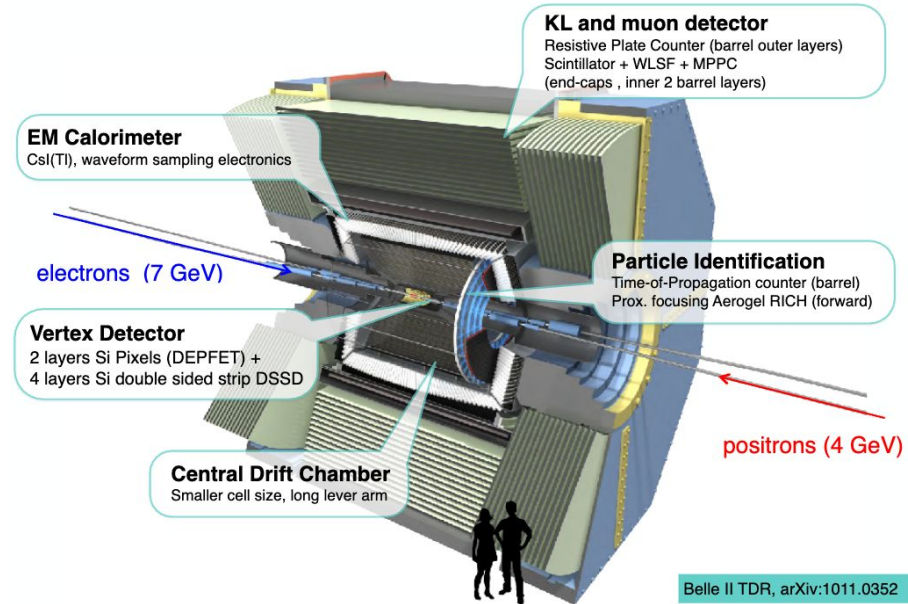
## Experimental perspective

- **BR**: simple extraction, good control of efficiencies through control modes
- **Angular observables**: need to control acceptance, many parameters require large yields
- **LFU**: need control of  $e^\pm$  vs  $\mu^\pm$  efficiencies - very challenging at hadron machines

# Experiments: b-physics



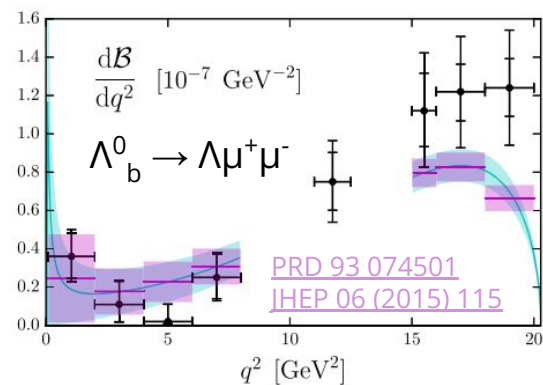
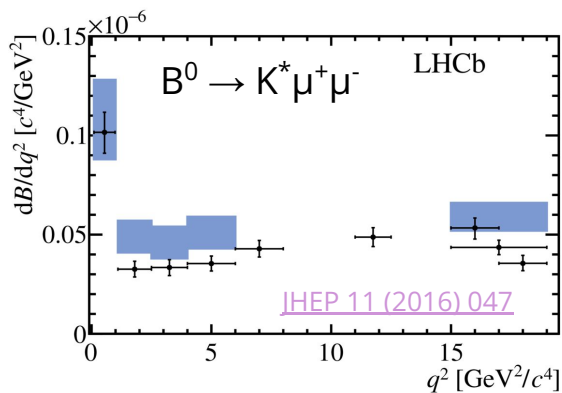
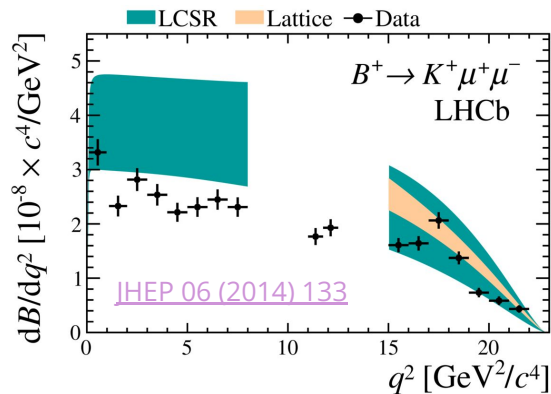
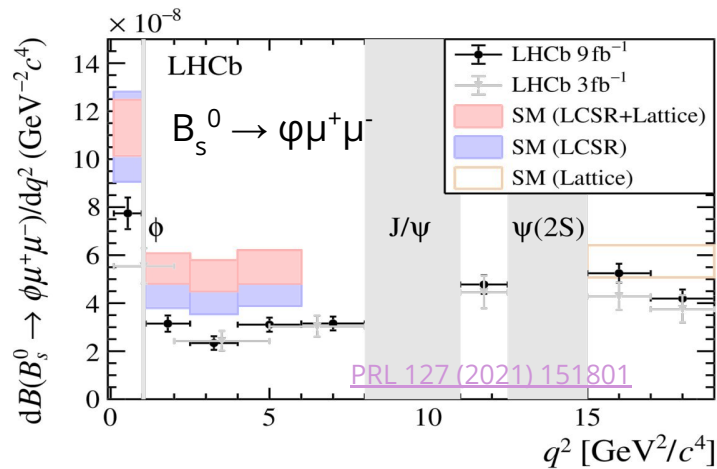
- pp collisions: high background
- $3+6\text{fb}^{-1}$ : all species ( $\sigma_{B^+} \sim 43/87\mu\text{b}$ ) @7/13 TeV
- forward spectrometer
- excellent PID, momentum, IP performance



- $e^+e^-$  collisions: very clean environment
- $1\text{ab}^{-1} + 400\text{fb}^{-1}$ :  $B^0, B^+ (B_s)$  ( $\sigma_B \sim 10^9/\text{ab}^{-1}$ )
- hermetic detector, large coverage
- excellent PID, tagging power

# Branching ratios

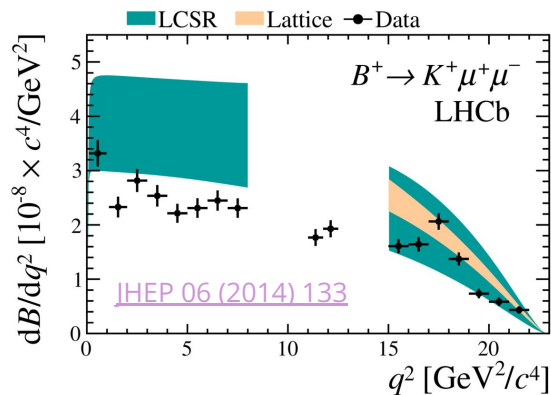
Trend:  $b \rightarrow s\mu^+\mu^-$  BR systematically lower than SM predictions



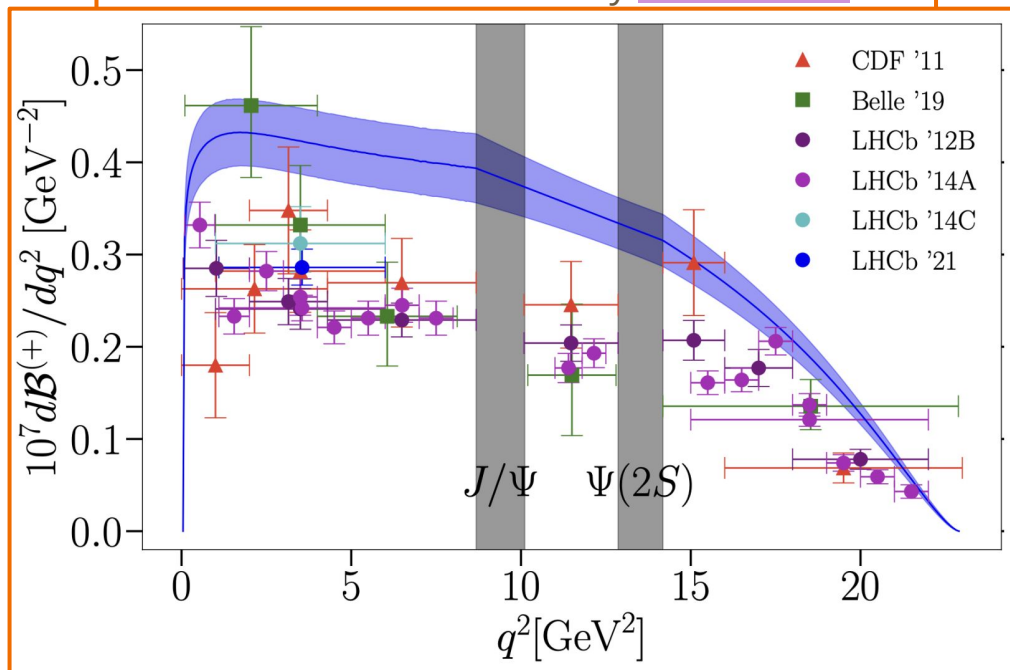


# Branching ratios

Trend:  $b \rightarrow s\mu^+\mu^-$  BR systematically  
than SM predictions



**NEW** LQCD FF for  $B \rightarrow K$ : better precision,  
increased tension! See talk by [C. Bouchard](#)



# Angular observables

Range of observables sensitive to different WCs

$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \left. \frac{d^4(\Gamma + \bar{\Gamma})}{dq^2 d\vec{\Omega}} \right|_P = \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K \right. \\ \left. + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_l \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_l + S_3 \sin^2 \theta_K \sin^2 \theta_l \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_l \cos \phi + S_5 \sin 2\theta_K \sin \theta_l \cos \phi \right. \\ \left. + \frac{4}{3} A_{\text{FB}} \sin^2 \theta_K \cos \theta_l + S_7 \sin 2\theta_K \sin \theta_l \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_l \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_l \sin 2\phi \right]$$

$B_d \rightarrow K^* \mu^+ \mu^-$   
[\[Altmannshofer et al.\]](#)

$F_L$ : H longitudinal polarisation

$A_{\text{FB}}$ : di-lepton  
 forward-backward asymmetry

$S_i$ : CP-averaged observables

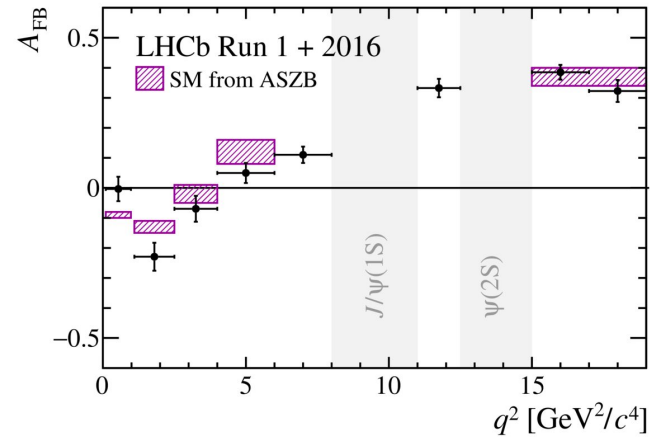
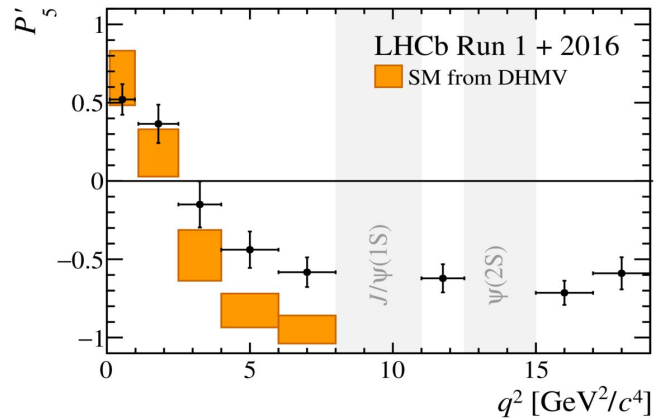
“Clean” basis: cancellation of Form Factors at leading order [\[Descotes-Genon et al.\]](#)

$$P'_5 = S_5 / \sqrt{F_L(1 - F_L)}$$

# Angular analysis of $B^0 \rightarrow K^* \mu^+ \mu^-$

[PRL 125 \(2020\) 011802](#)

Most precise by LHCb: Run 1 + 2016 data



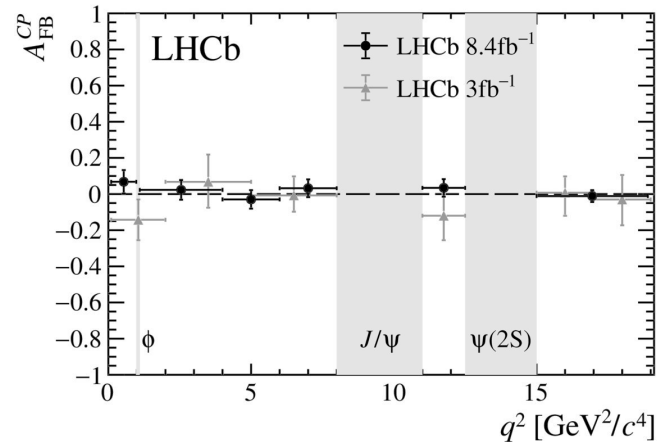
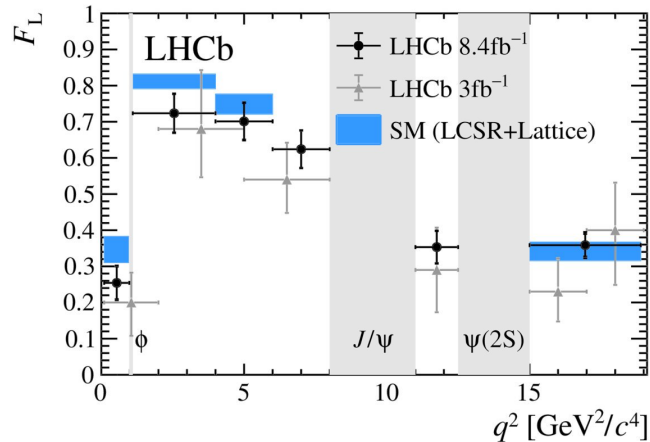
2.7 - 3.3  $\sigma$  preference for NP with negative  $C_9^{NP}$

# Angular analysis of $B_s^0 \rightarrow \phi \mu^+ \mu^-$

[JHEP 11 \(2021\) 043](#)

Only LHCb, uses full dataset

⚠ CP asymmetries in untagged rate: indistinguishable  $B_s$  and  $\bar{B}_s$  decays



Compatible with SM but preference for negative  $C_9^{NP}$  at 1.9 $\sigma$

# Lepton Flavour Universality tests

Leptons of different species couple identically to electroweak bosons in SM  
→ Lepton Flavour Universality (LFU)

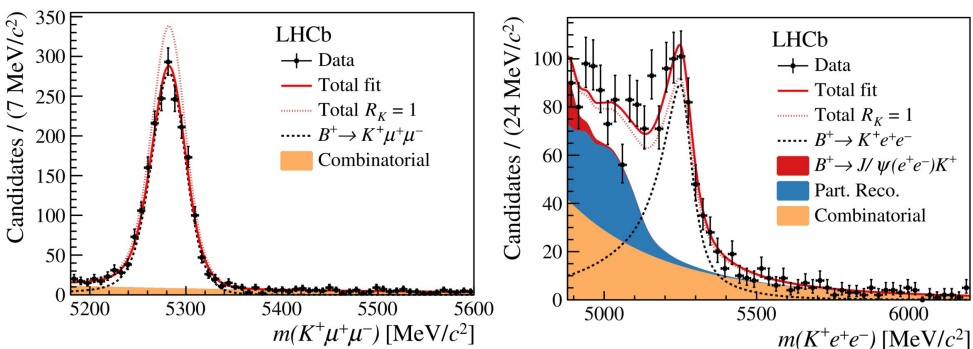
Measure **ratio** of same b → sll process with **muons and electrons** in final state:

$$R_H \equiv \frac{\int \frac{d\Gamma(B \rightarrow H \mu^+ \mu^-)}{dq^2} dq^2}{\int \frac{d\Gamma(B \rightarrow H e^+ e^-)}{dq^2} dq^2} \quad H = K^+, K^{0*}, K^0_S, K^{0+} \dots$$

Hadronic uncertainties cancel in ratio → very **clean theory prediction**

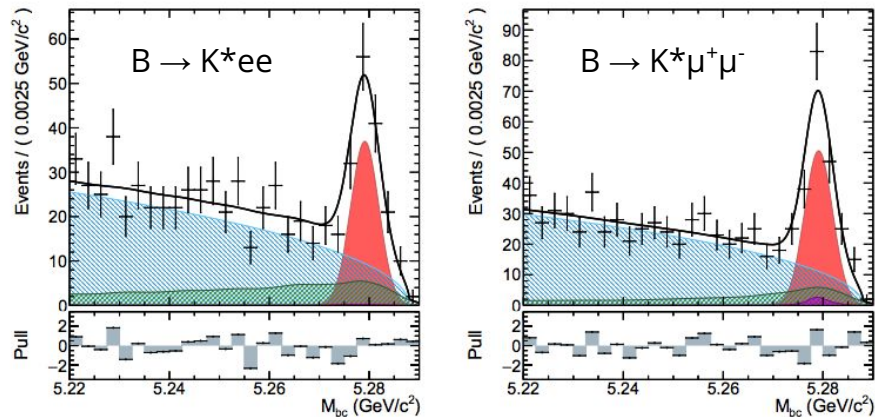
# $b \rightarrow sll$ with electrons

A challenge at LHCb



[PRL 122 \(2019\) 191801](#)

Much more similar to muons at Belle



[PRL 126 161801 \(2021\)](#)

# b $\rightarrow$ sll with electrons at LHCb

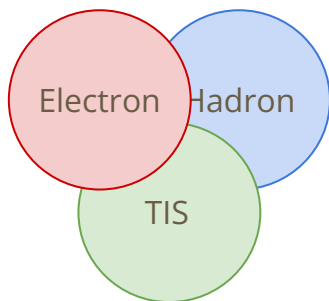
## Hardware trigger

Larger ECAL occupancy  $\rightarrow$  tighter thresholds for electrons:

- $e p_T > 2700/2400$  MeV in 2012/2016
- $\mu p_T > 1700/1800$  MeV in 2012/2016

[[LHCb-PUB-2014-046](#), [2019 JINST 14 P04013](#)]

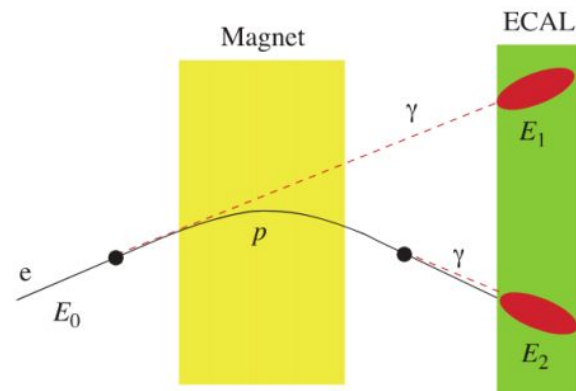
Mitigate with events triggered **independently of the signal** (TIS) (and hadron trigger)



## Interaction with detector material

Electrons radiate much more Bremsstrahlung

Recovery procedure in place



- miss some photons and add fake ones
  - ECAL resolution worse than tracking
- $\rightarrow$  worse mass resolution for electron modes

# How do we measure LFU at LHCb?

$$R_H = \frac{BR(B \rightarrow H\mu^+\mu^-)}{BR(B \rightarrow He^+e^-)}$$

In SM:

$$R_K = 1.0000 \pm 0.0001 \quad [\text{Bordone et al.}]$$

Experimentally:

$$R_H = \frac{N(B \rightarrow H\mu^+\mu^-)}{N(B \rightarrow He^+e^-)} \times \frac{\epsilon(B \rightarrow He^+e^-)}{\epsilon(B \rightarrow H\mu^+\mu^-)}$$

from mass fit                      from MC and calibration samples

Exploit the well tested LFU in  $J/\psi$  modes

$$r_{J/\psi} = \frac{BR(B \rightarrow HJ/\psi(\mu^+\mu^-))}{BR(B \rightarrow HJ/\psi(e^+e^-))} = 1$$

- as stringent cross-check
- to build double ratio at LHCb  $\rightarrow$  cancel systematic effects

$$R_H = \frac{\frac{N(B \rightarrow H\mu^+\mu^-)}{N(B \rightarrow HJ/\psi(\mu^+\mu^-))}}{\frac{N(B \rightarrow He^+e^-)}{N(B \rightarrow HJ/\psi(e^+e^-))}} \times \frac{\frac{\epsilon(B \rightarrow He^+e^-)}{\epsilon(B \rightarrow HJ/\psi(e^+e^-))}}{\frac{\epsilon(B \rightarrow H\mu^+\mu^-)}{\epsilon(B \rightarrow HJ/\psi(\mu^+\mu^-))}}$$

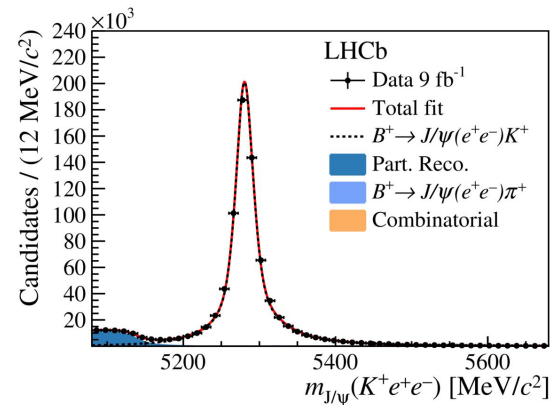
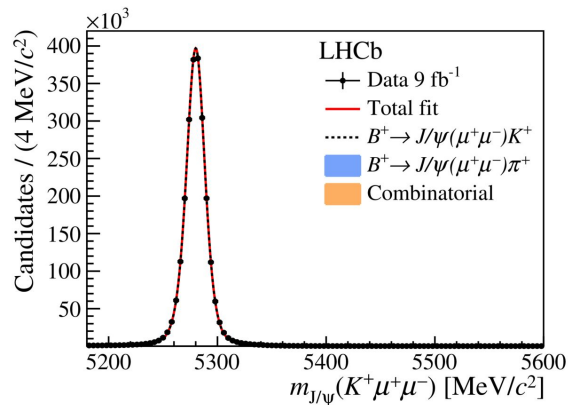


# $R_K$ with full LHCb data

Stringent cross-checks with  $B^+ \rightarrow J/\psi K^+$

- shows that even absolute electron and muon efficiencies are understood

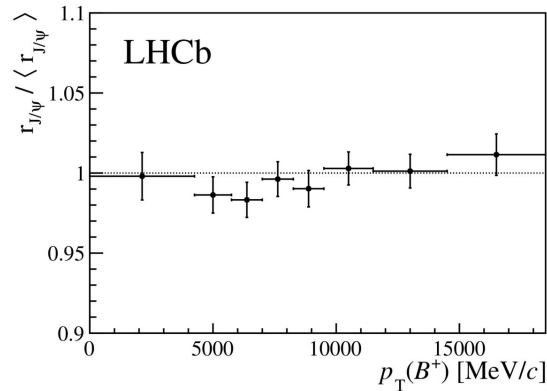
$$r_{J/\psi} = 0.981 \pm 0.020$$



constraint  $m(\ell\ell)$  to  $J/\psi$  mass → strong improvement of mass resolution

# $R_K$ with full LHCb data

Check phase-space dependency: trends and  $B^+ \rightarrow \psi(2S) K^+$  decays



$$R_{\Psi(2S)} = \frac{BR(B \rightarrow K^+ \Psi(2S)(\mu^+ \mu^-))}{BR(B \rightarrow K^+ J/\psi(\mu^+ \mu^-))} / \frac{BR(B \rightarrow K^+ \Psi(2S)(e^+ e^-))}{BR(B \rightarrow K^+ J/\psi(e^+ e^-))}$$

$$R_{\psi(2S)} = 0.997 \pm 0.011$$

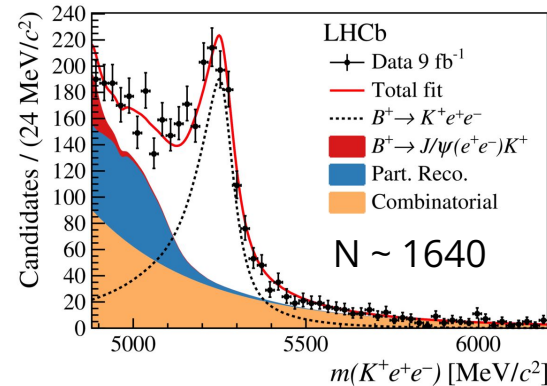
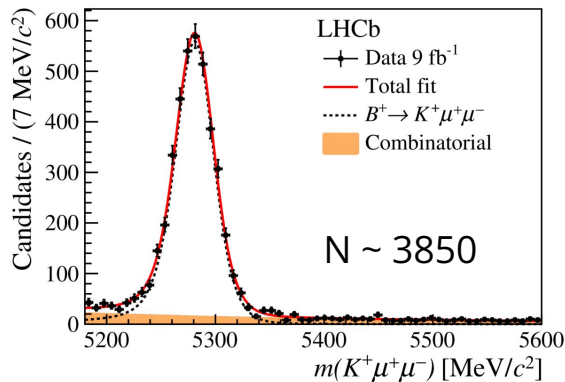
Effect of simulation corrections is small thanks to the double ratio:

- $R_K$ :  $(+3 \pm 1)\%$
- $R_{J/\psi}$ : 20%

# $R_K$ with full LHCb data

Measurement in  $1.1 < q^2 < 6.0 \text{ GeV}^2$  with Run 1+2 datasets

$R_K$  from simultaneous fit to  $B^+ \rightarrow K^+\mu^+\mu^-$  and  $B^+ \rightarrow K^+e^+e^-$  candidates



$$R_K(1.1 < q^2 < 6.0 \text{ GeV}^2/c^4) = 0.846^{+0.042}_{-0.039} {}^{+0.013}_{-0.012}$$

most precise LFU  
measurement in

$b \rightarrow sll!$

Tension with SM at 0.10% ( $3.1\sigma$ )

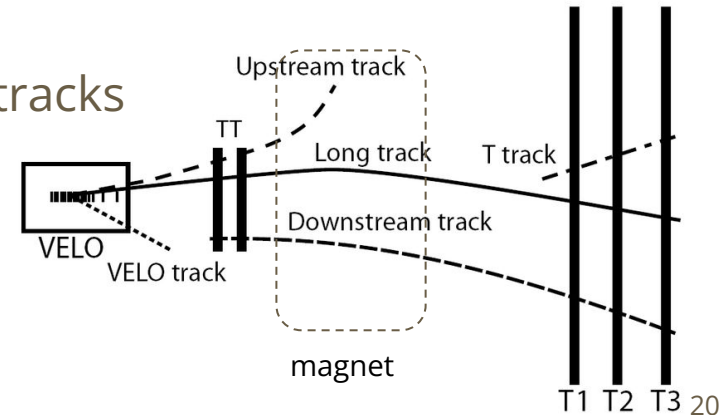
# $R_K$ and $R_{K^*}$ with neutral Kaons

Isospin partners  $B^0 \rightarrow K_S^0 l^+ l^-$  and  $B^+ \rightarrow K^{*+} l^+ l^-$

- only explored by Belle/BaBar before, more challenging at LHCb
- no unambiguous observation of electron modes by any experiment

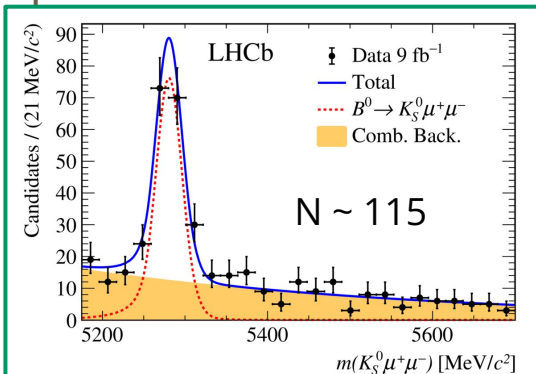
Use full dataset and follow  $R_K$  strategy, with some particularities:

- reconstruct  $K_S^0 \rightarrow \pi^+ \pi^-$  and  $K^{*+} \rightarrow K_S^0 \pi^+$
- reconstruct  $K_S^0$  from long and downstream tracks
- still smaller yields due to long-lived  $K_S^0$



# $R_K$ and $R_{K^*}$ with neutral Kaons

Separate fits to  $B^0$  and  $B^+$  decays, simultaneous for muons and electrons

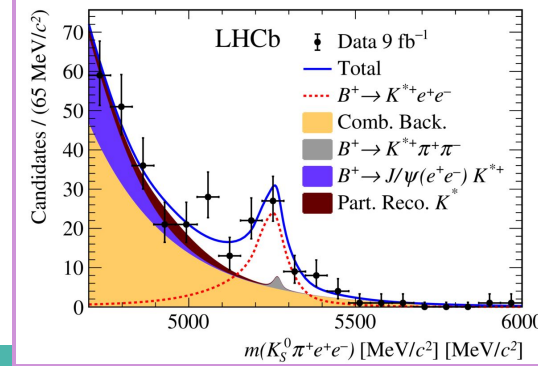
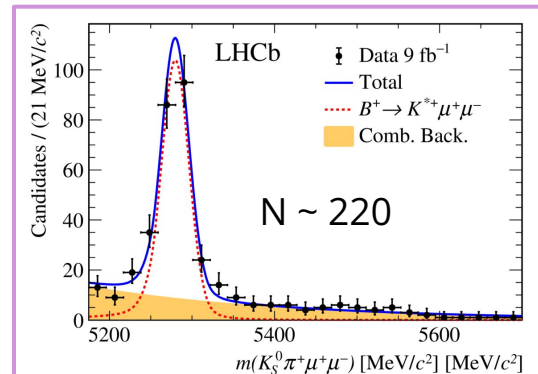
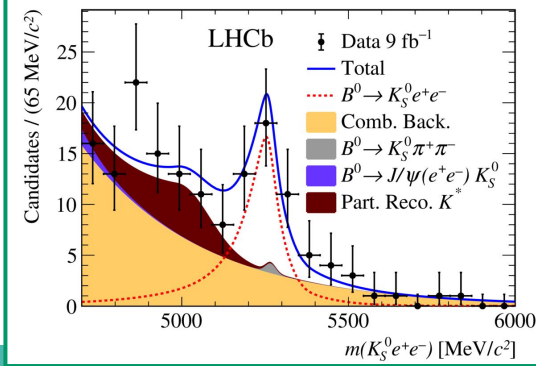


Electron mode significance of  $5.3$  and  $6.0\sigma \rightarrow 1\text{st observation}$

$$R_{K_S^0} = 0.66^{+0.20}_{-0.14} (\text{stat.})^{+0.02}_{-0.04} (\text{syst.})$$

$$R_{K^{*+}} = 0.70^{+0.18}_{-0.13} (\text{stat.})^{+0.03}_{-0.04} (\text{syst.})$$

Most precise results, consistent with SM at  $1.5$  and  $1.4\sigma$



# Overview of LHCb LFU measurements

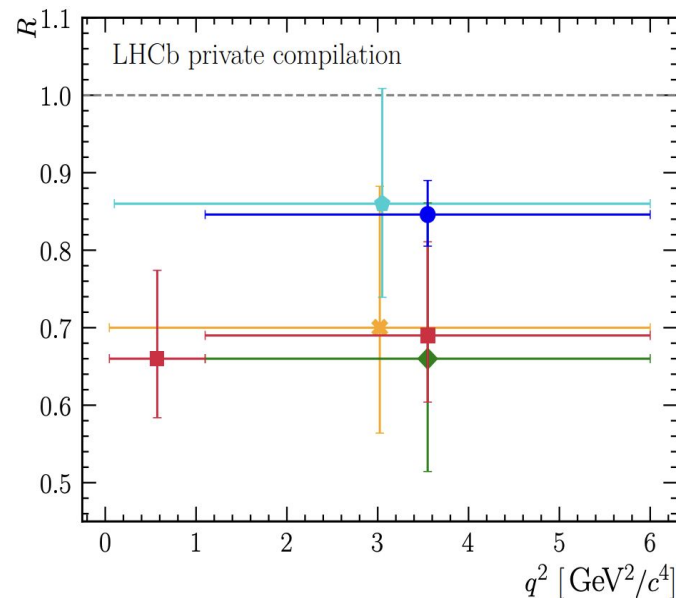
Working on final results with full Run 2 data

Unified analysis of  $R_K$  and  $R_{K^*}$  ongoing

- Final Run 1 + 2 results
- Deeper understanding LFU
- High priority for collaboration

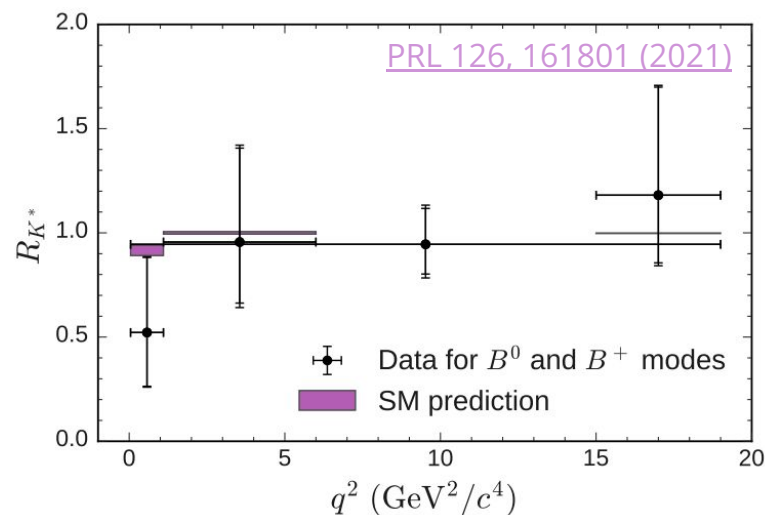
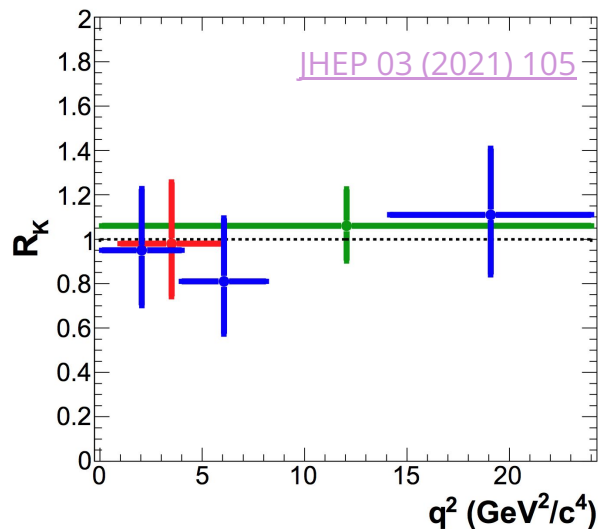
Updates and new measurements:

- $R_{pK}$  full Run 1+2
- $R_{\varphi}$ ,  $R_{K\pi\pi'}$ , etc.



# Results from Belle

Weighted average of charged and neutral modes in various  $q^2$  bins:



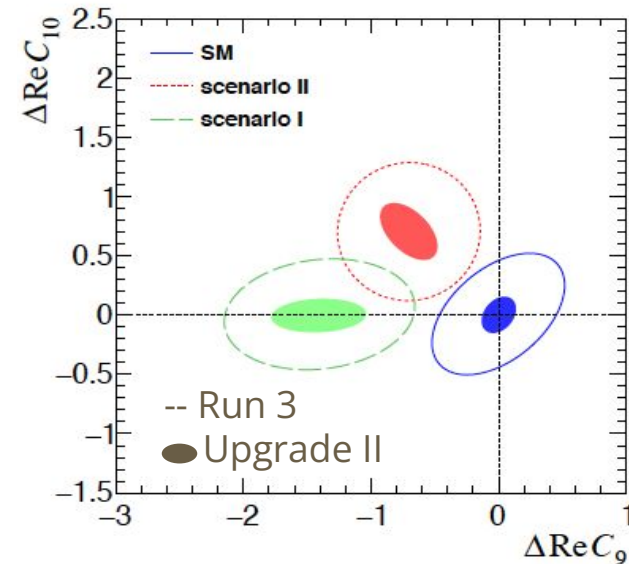
Results compatible with SM and LHCb measurements  
Statistically limited → looking forward Belle II results!

# Future prospects for LFU tests at LHCb

LHC schedule:

- Run 3: 2022 - 2025 → LHCb upgraded
- Run 4: 2028 - 2030
- Run 5 (HL-LHC): > 2032 → LHCb Upgrade II

$R_X$ precision	9 fb <sup>-1</sup>	Run 3 23 fb <sup>-1</sup>	Run 4 50 fb <sup>-1</sup>	Upgrade II 300 fb <sup>-1</sup>
$R_K$	0.043	0.025	0.017	0.007
$R_{K^*0}$	0.052	0.031	0.020	0.008
$R_\phi$	0.130	0.076	0.050	0.020
$R_{pK}$	0.105	0.061	0.041	0.016
$R_\pi$	0.302	0.176	0.117	0.047



$$O_9^{(l)} \propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu l)$$

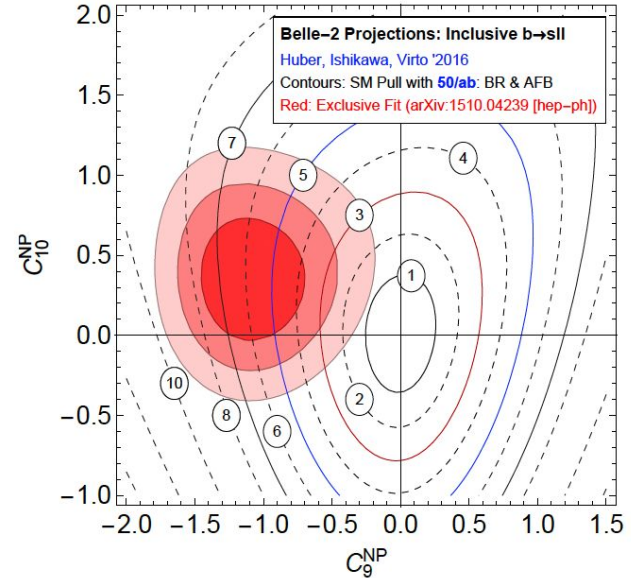
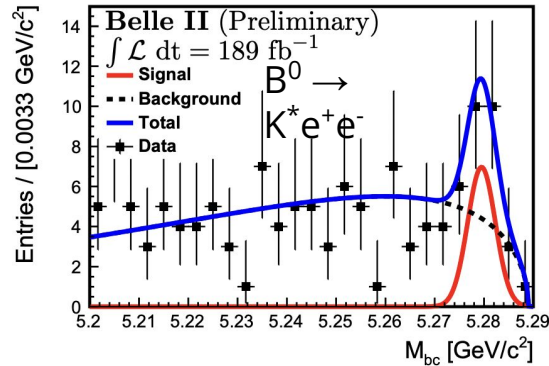
$$O_{10}^{(l)} \propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{l} \gamma_\mu \gamma_5 l)$$



# Prospects for Belle II

First  $b \rightarrow sll$  and  $r_{J/\psi}$  results w/  $189 \text{ fb}^{-1}$ , looking forward to LFU tests

arXiv:2206.05946



Observable	Belle II	Belle (2021)
$R_{K^+}(J/\psi)$	$1.009 \pm 0.022 \pm 0.008$	$0.994 \pm 0.011 \pm 0.010$
$R_{K_S^0}(J/\psi)$	$1.042 \pm 0.042 \pm 0.008$	$0.993 \pm 0.015 \pm 0.010$

[arXiv:2207.11275](#)

[Belle II Physics Book](#)

# Summary & conclusions

Rare  $b \rightarrow sll$  decays provide stringent tests of NP

- Interesting **tensions in  $b \rightarrow sll$**  transitions could be a hint of NP
- Latest results **cannot confirm neither deny them**
- Updates with **more data and new modes** under development
  - Precise results from other experiments awaited

Interpretation of results: talks by [P. Stangl](#), [M. Fedele](#) and [W. Altmannshofer](#)

Many other studies of rare b-hadron decays: see talks by [G. Frau](#) and [L. Martel](#)

Stay tuned!

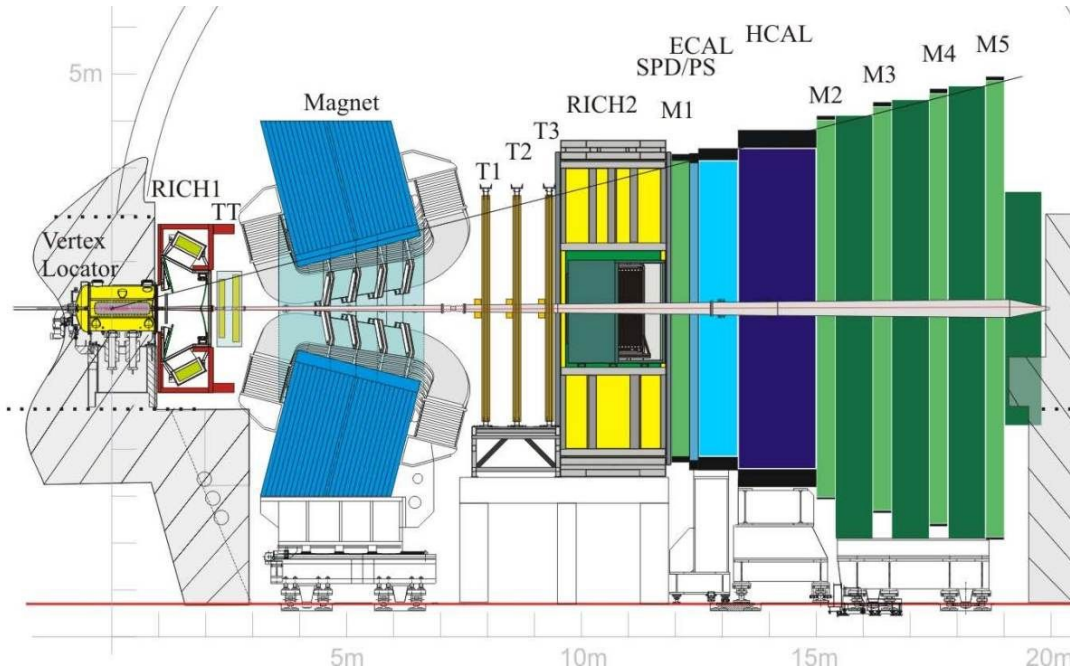
**Thanks for the attention**

**Questions?**

**Comments?**

# BACK-UP

# Experimental setup: LHCb



$$\Delta p / p = 0.5 - 1.0\%$$

$$\Delta IP = (15 + 29/p_T[\text{GeV}]) \mu\text{m}$$

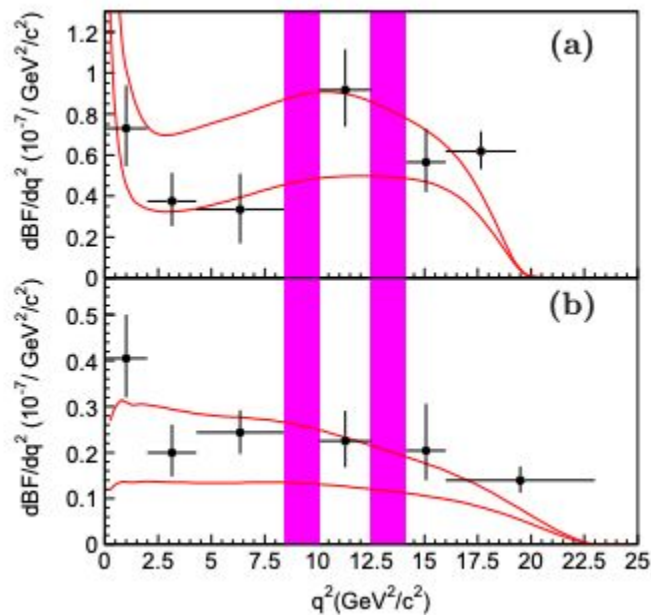
$$\Delta E/E_{\text{ECAL}} = 1\% + 10\% / \sqrt{E[\text{GeV}]}$$

Electron ID  $\sim 90\%$  for  $\sim 5\%$   $h \rightarrow e^\pm$   
mis-id probability

Muon ID  $\sim 97\%$  for  $1-3\%$   $\pi \rightarrow \mu$   
mis-id probability

# $b \rightarrow sll$ BR at Belle

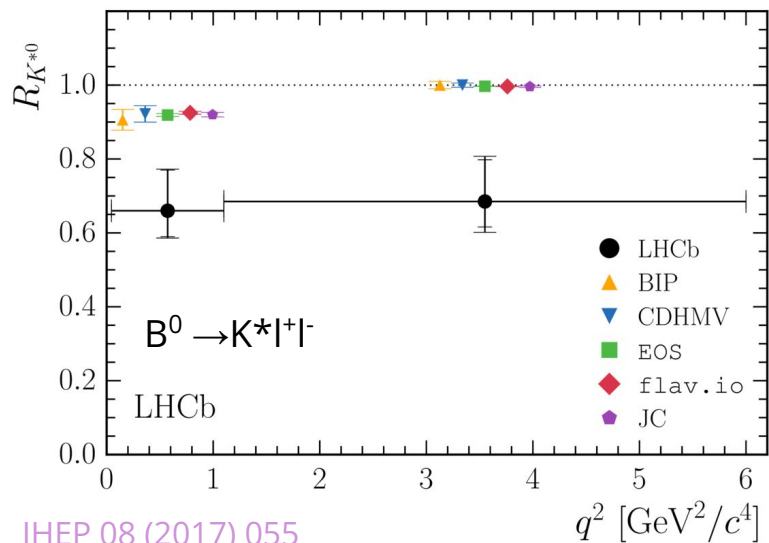
$B \rightarrow K^*l^+l^-$



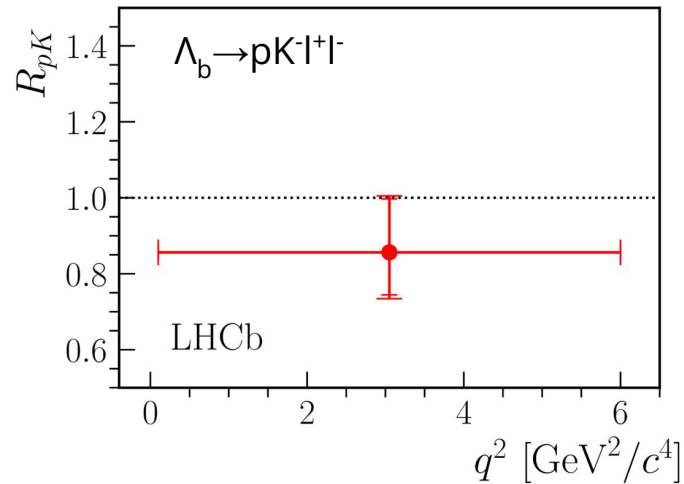
[PRL 103, 171801 \(2009\)](#)

$B \rightarrow Kl^+l^-$

# $R_{K^*}$ and $R_{pK}$



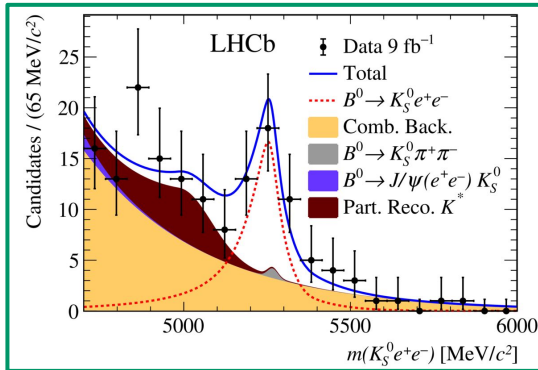
[JHEP 08 \(2017\) 055](#)



[JHEP 05 \(2020\) 040](#)

# $R_K$ and $R_{K^*}$ with neutral Kaons

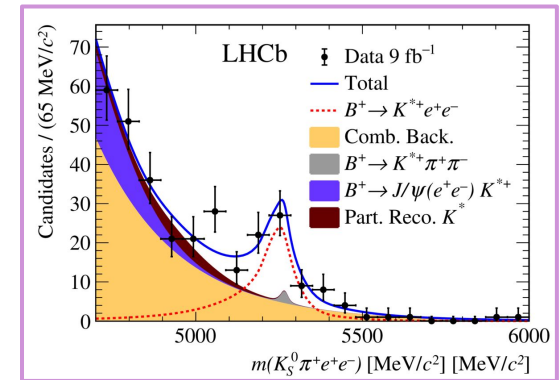
Separate fits to  $B^0$  and  $B^+$  decays, simultaneous for muons and electrons



Electron mode significance of  $5.3$  and  $6.0\sigma \rightarrow$  1st observation

$e^\pm$  misld backgrounds are included in the fits

$d\mathcal{B}/dq^2$  measured for first time in electron modes, in  $q^2$  bins  $[1.1, 6.0]$  and  $[0.045, 6.0]$   $\text{GeV}^2/c^4$



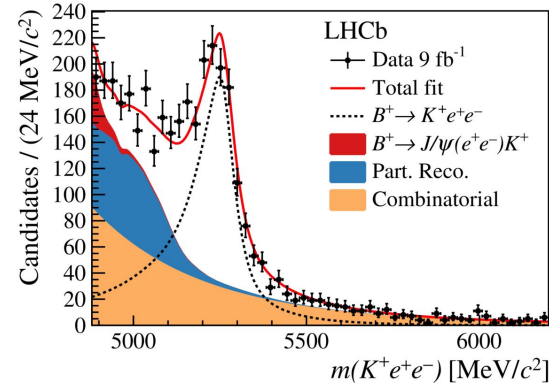
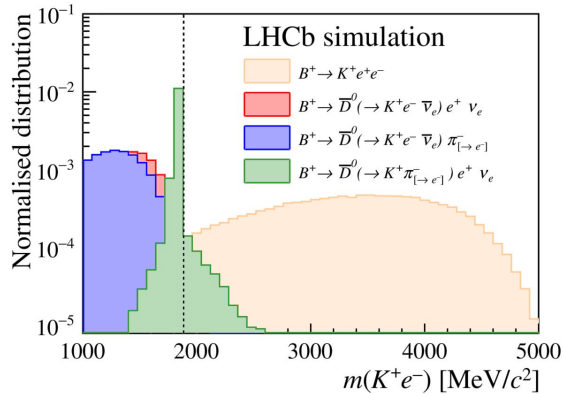
$$\frac{d\mathcal{B}(B^0 \rightarrow K^0 e^+ e^-)}{dq^2} = (2.6 \pm 0.6 \text{ (stat.)} \pm 0.1 \text{ (syst.)}) \times 10^{-8} \text{ GeV}^{-2} c^4$$

$$\frac{d\mathcal{B}(B^+ \rightarrow K^{*+} e^+ e^-)}{dq^2} = (9.2_{-1.8}^{+1.9} \text{ (stat.)}_{-0.6}^{+0.8} \text{ (syst.)}) \times 10^{-8} \text{ GeV}^{-2} c^4$$



# $R_K$ with full LHCb data

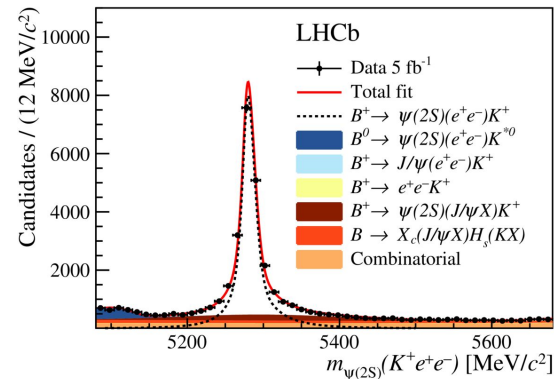
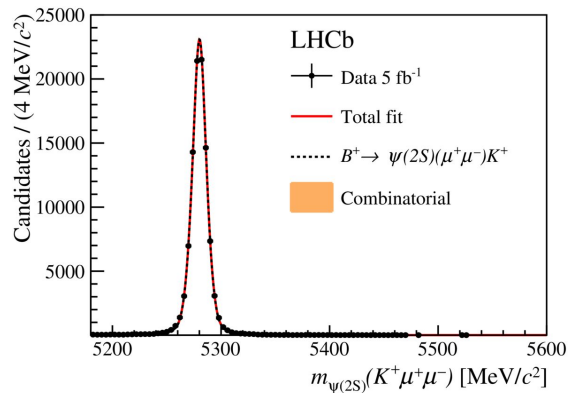
Cannot apply  $J/\psi$  mass constraint to rare mode  $\rightarrow$  worse resolution  $\rightarrow$  larger backgrounds for electron mode. Dedicated vetoes to minimise them.



# $R_{\psi(2S)}$ cross-check

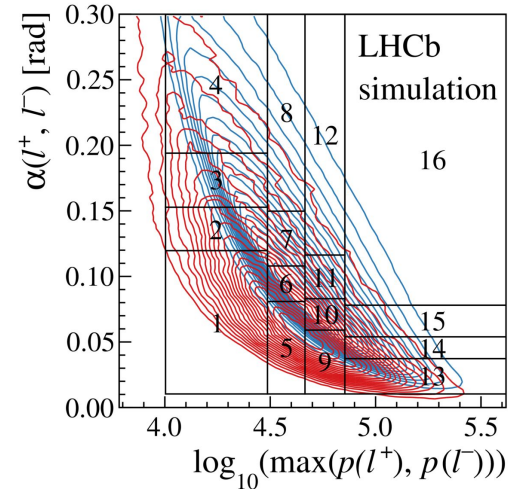
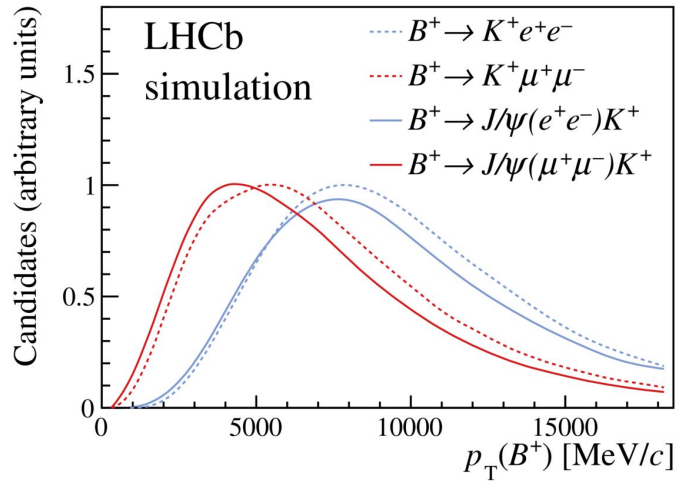
Stringent cross-checks with  $B^+ \rightarrow J/\psi K^+$  and  $B^+ \rightarrow \psi(2S) K^+$  decays

$$R_{\psi(2S)} = 0.997 \pm 0.011 \text{ (double-ratio)}$$



Constraint  $m(\ell\ell)$  to  $J/\psi$  or  $\psi(2S)$  mass  $\rightarrow$  strong improvement of mass resolution

# $R_K: r_{J/\psi}$ cross-checks



# $R_K$ systematics

Detailed study of systematic uncertainties:

Fit model	1%
Calibration sample size	1%
Trigger, PID and B kinematics calibration	< 0.1%
$q^2$ distribution and resolution	negligible

# $R_K$ : significance

